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PATENT  
Attorney Docket No. NNM 001  
(73862)  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mayes *et al.*

SERIAL NUMBER: 09/308,166

ART UNIT: 2754

FILING DATE: June 25, 1999

EXAMINER: Not yet assigned

TITLE: MAGNETIZABLE DEVICE

Commissioner for Patents  
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: NNM-001

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APPLICANTS: Mayes *et al.*

SERIAL NO.: 09/308,166

FILING DATE: June 25, 1999

GROUP: 2754

## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A31	3,951,904	04/20/76	Tomonaga	260	40 R	
	A32	4,009,111	02/22/77	Tamai <i>et al.</i>	252	62.55	
	A33	4,425,261	01/10/84	Stenius <i>et al.</i>	502	339	
	A34	4,452,773	06/05/84	Molday	424	1.1	
	A35	4,454,234	06/12/84	Czerlinski	436	526	
	A36	4,480,256	10/30/84	Wren	343	909	
	A37	5,062,991	11/05/91	Siiman <i>et al.</i>	252	315.2	
	A38	5,147,841	09/15/92	Wilcoxon	502	173	
	A39	5,248,589	09/28/93	Bose <i>et al.</i>	435	2	
	A40	5,262,176	11/16/93	Palmacci <i>et al.</i>	424	9	
	A41	5,443,813	08/22/95	Hainfeld	424	1.17	
	A42	5,697,902	12/16/97	Goldenberg	604	49	
	A43	5,916,539	06/29/99	Pilgrimm	424	9.322	
	A44	6,054,495	04/25/00	Markowitz <i>et al.</i>	516	97	
	A45	6,103,868	08/15/00	Heath <i>et al.</i>	528	482	
	A46	6,254,662 B1	07/03/01	Murray <i>et al.</i>	75	348	
	A47	6,262,129 B1	07/17/01	Murray <i>et al.</i>	516	33	

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
	B7	89/11154	11/16/89	WO					Y
	B8	98/29535	07/09/98	WO					Y
	B9	00/45171	08/06/00	WO					Y
	B10	00/71169 A2	11/30/00	WO					Y
	B11	01/74406 A2	10/11/01	WO					Y
	B12	1 186 659 A1	03/13/02	EP					Y



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FORM PTO - 1400 INFORMATION DISCLOSURE STATEMENT					ATTORNEY DOCKET NO.: NNM-001				
					APPLICANTS: Mayes <i>et al.</i>				
					SERIAL NO.: 09/308,166				
					FILING DATE: June 25, 1999 GROUP: 2754				
	B13	0 049 770	04/21/82	EP					Y
	B14	0 686 448 A2	12/13/95	EP					Y
	B15	42 44 354 A1	07/01/93	DE					Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C15	Huang <i>et al.</i> , Construction of a Ferritin Reactor: An Efficient Means for Trapping Various Heavy Metal Ions in Flowing Seawater", Journal of Protein Chemistry, Vol. 19, No. 6, 2000.							
	C16	Kenji <i>et al.</i> , "Nanometer-Size Structures Fabricated by Bio-Nano-Process", Abstract, Meiji University.							
	C17	Li <i>et al.</i> , "Growth of Single-Walled Carbon Nanotubes From Discrete Catalytic Nanoparticles of Various Sizes", J. Phys. Chem. B, 105, pp. 11424-11431, 2001.							
	C18	Warne <i>et al.</i> , "Self Assembled Nanoparticulate Co : Pt for Data Storage Applications", IEEE Transactions on Magnetics, Vol. 36, No. 5, September 2000.							
	C19	Yamashita, "Fabrication of a Two-Dimensional Array of Nano-Particles Using Ferritin Molecule", Thin Solid Films, 393, pp. 12-18, 2001.							
EXAMINER					DATE CONSIDERED				

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